What is claimed is:

- 1 1. A method for analyzing a sample of wafers, comprising the steps of:
- 2 (a) identifying F failure metrics that are applicable to at least one circuit pattern on each
- 3 wafer within the sample of wafers, where F is an integer;
- 4 (b) identifying Z spatial and/or reticle zones on each wafer, where Z is an integer;
- 5 (c) providing values for each of the F failure metrics, for each of the Z zones on each
- 6 wafer;
- 7 (d) defining a point for each respective wafer in an N-dimensional space, where N=F*Z,
- 8 and each point has coordinates corresponding to values of the F failure metrics in each of the
- 9 Z zones of the corresponding wafer; and
- 10 (e) clustering the sample of wafers into a plurality of clusters of wafers, so that the wafers
- within each cluster are close to each other in the N-dimensional space, thereby identifying the
- 12 plurality of clusters of wafers from the sample of wafers so that within each individual
- cluster, the wafers have a similar distribution of defects.
- 1 2. The method of claim 1, wherein step (c) includes extracting the values from failure bit
- 2 map data, multi-probe data or final die sort data collected from each wafer, using a die sort
- 3 tester.
- 1 3. The method of claim 1, wherein:
- 2 step (b) includes identifying Z reticle zones, corresponding to Z zones within a reticle
- 3 used to make each wafer,
- each wafer has E reticle fields corresponding to E exposures of the wafer using the
- 5 reticle, and
- step (c) includes providing, for each wafer, Z values for each failure metric, each of
- 7 the Z values representing a combined measure of the values of that failure metric for a given
- 8 one of the reticle zones across all E reticle fields of that wafer.
- 1 4. The method of claim 3, wherein the D reticle zones correspond to D die within each
- 2 reticle field.
- 1 5. The method of claim 1, wherein:

2		S spatial zones and R reticle zones are identified on each wafer, where R and S are
3	integers,	
4		steps (c), (d) and (e) are performed with Z=S, using spatial data from the S spatial
5	zones, and	
6		steps (c), (d) and (e) are performed with Z=R, using reticle data from the R reticle
7	zones	•
1	6.	The method of claim 1, further comprising after step (d), filtering the data to eliminate
2	noise.	
1	7.	The method of claim 1, further comprising after step (d),
2		performing a principle component analysis on the coordinates for each point to
3	identify a set of principle component scores;	
4		identifying insignificant principal component scores; and
5		eliminating the insignificant principal component scores before step (e).
1	8.	The method of claim 7, wherein step (e) includes:
2		initially assigning each wafer to a respectively different cluster;
3		determining a respective distance between each pair of the clusters in a principle
4	component space; and	
5		recursively combining into a single cluster the pair of clusters that are separated by a
6	smallest distance in the principle component space.	
1	9.	The method of claim 7, wherein step (e) includes agglomerative hierarchical
2	cluste	ering.
1	10.	The method of claim 9, wherein a distance between a given two of the clusters is
2	defined as the greatest distance, in the N-dimensional space, between any two wafers in the	
3	given two clusters, and the agglomerative hierarchical clustering includes combining wafers	
4	of the clusters until the smallest distance between any two of the clusters exceeds a	
5	predetermined threshold.	

1 11. The method of claim 8, wherein the distance between a pair of clusters is defined as

- 2 the greatest distance between any two points corresponding to any of the wafers in the pair of
- 3 clusters.
- 1 12. The method of claim 1, wherein step (e) comprises:
- 2 (e1) initially assigning a subset of the wafers to one of the clusters;
- 3 (e2) determining a respective distance between the point corresponding to each of the
- 4 subset of wafers and a centroid of the one cluster;
- 5 (e3) calculating a first sum of the squared errors from the distances of step (e2);
- 6 (e4) calculating a second sum of the squared errors that is obtained from each of two
- 7 partitioned clusters to be formed by partitioning the one cluster, where the second sum of the
- 8 squared errors is based on the respective distance between each point and a centroid of the
- 9 respective partitioned cluster to which that point is to be assigned;
- 10 (e5) partitioning the one cluster into the two partitioned clusters, if the second sum of the
- squared errors is significantly less than the first sum of the squared errors.
- 1 13. The method of claim 12, wherein step (e5) comprises partitioning the one cluster into
- 2 the two partitioned clusters, if one minus a ratio of the second sum of the squared errors
- divided by the first sum of the squared errors exceeds a threshold value.
- 1 14. The method of claim 1, further comprising performing a commonality analysis to
- 2 identify one or more pieces of equipment responsible for a lot of wafers having a yield below
- 3 a desired yield.
- 1 15. The method of claim 14, wherein the commonality analysis includes a Monte Carlo
- 2 simulation.
- 1 16. The method of claim 14, wherein the commonality analysis includes analysis of
- 2 variance between lots of wafers.

AMENDED CLAIMS

[received by the International Bureau on 04 August 2004 (04.08.04); claim 1 amended]

- 1 1. A method for analyzing a sample of wafers, comprising the steps of:
- 2 (a) identifying F failure metrics that are applicable to at least one circuit pattern on each
- 3 wafer within the sample of wafers, where F is an integer;
- 4 (b) identifying Z spatial and/or reticle zones on each wafer, where Z is an integer;
- 5 (c) providing values for each of the F failure metrics, for each of the Z zones on each
- 6 wafer;
- 7 (d) defining a point for each respective wafer in an N-dimensional space, where N=F*Z,
- 8 and each point has coordinates corresponding to values of the F failure metrics in each of the
- 9 Z zones of the corresponding wafer; and
- 10 (e) clustering the sample of wafers into a plurality of clusters of wafers using a computer,
- so that the wafers within each cluster are close to each other in the N-dimensional space,
- thereby identifying the plurality of clusters of wafers from the sample of wafers so that within
- each individual cluster, the wafers have a similar distribution of defects.
- 1 2. The method of claim 1, wherein step (c) includes extracting the values from failure bit
- 2 map data, multi-probe data or final die sort data collected from each wafer, using a die sort
- 3 tester.
- 1 3. The method of claim 1, wherein:
- 2 step (b) includes identifying Z reticle zones, corresponding to Z zones within a reticle
- 3 used to make each wafer,
- 4 each wafer has E reticle fields corresponding to E exposures of the wafer using the
- 5 reticle, and
- 6 step (c) includes providing, for each wafer, Z values for each failure metric, each of
- 7 the Z values representing a combined measure of the values of that failure metric for a given
- 8 one of the reticle zones across all E reticle fields of that wafer.
- 1 4. The method of claim 3, wherein the D reticle zones correspond to D die within each
- 2 reticle field.
- 1 5. The method of claim 1, wherein: